

	1.1 New legislation has been identified and complied with	2.1 Directorate scheme of delegation is in place	2.2 List services which are jointly managed & provide evidence of arrangements	4.1 List all major projects	5.1 IA to assess compliance with SAP monthly non compliance s/s	5.2 List contracts due to expire in 12 months & provide plans	5.4 Details of budget spend for 11/12 and how it is analysed	5.7 Number of IPADs that should have been undertaken / those completed	7.1 IA to review Imp. Board papers to ensure performance is adequately monitored etc.	Additional reality testing undertaken	Overall impact of reality testing
Legal & Governance Services	No change to assurance	No change to assurance	No change to assurance	Additional info requested . No change to assurance	No change to assurance	Further evidence requested. No impact on assurance	Evidence chased. No change to assurance	Clarification sought. No change to assurance	No change to assurance	1.2, 4.3, 5.3 Further info requested. No impact on assurance 4.4 Both areas ticked, level of assurance reduced	Clarification of rating resulted in lowering the assurance level
Corporate Finance											
Finance	Rating omitted	Evidence chase. No change to assurance	No change to assurance	Rating omitted	Rating omitted. Further clarification sought	No change to assurance	No change to assurance	No change to assurance	No change to assurance	3.1, 5.6, 5.7, 7.1, ratings omitted. 2 areas lowered 3.2, 4.3, 4.4 , 5.2 Clarification sought, no impact on assurance	Not all ratings provided. Once provided, 3 areas confirmed as working/towards
Procurement	No change to assurance	Evidence chased. No change to assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	1.2, 4.3, 4.4 Further info requested. No impact on assurance	No impact on any areas of assurance
Collections & Benefits	No change to assurance	Evidence requested. No change to assurance	No change to assurance	Additional info requested . No change to	No change to assurance	No change to assurance	No change to assurance	Clarification sought. No change to assurance	Clarification sought. No change to assurance	None	No impact on any areas of assurance

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				assurance							
Children's Services	Additional info requested. No change to assurance	Rating challenged as no service specific dels in place. Assurance level lowered.	No change to assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	2.2, 5.2, Further info requested, no impact on assurance 4.4 Clarification sought, assurance level reduced	Impact on 2 areas of assurance – areas lowered

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Community & Environment											
Community & Culture	Additional info requested. No change to assurance	No change to assurance	No change to assurance	No change to assurance	Clarification requested, no impact on assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	4.2, 4.4 Further info requested, no impact on assurance 4.3, 5.5, Further info requested, assurance levels reduced	Impact on 2 areas of assurance – area lowered
Environment	Additional info requested. No change to assurance	No change to assurance	Additional info requested. No change to assurance	No change to assurance	Clarification requested, no impact on assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	4.2, 4.4 Further info requested, no impact on assurance 4.3, 5.5, Further info requested, assurance levels reduced	Impact on 2 areas of assurance – area lowered

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Adults & Housing											
Adults Social Care	Additional info requested. No change to assurance	No change to assurance	Clarification and further evidence requested. No change to assurance	Additional info requested no change to assurance	No change to assurance	Evidence sought, no change to assurance	Clarification sought, no change to assurance	No change to assurance	No change to assurance	4.3 Clarification sought, assurance level reduced. 4.4 Clarification sought, no impact on assurance 6.1 Clarification sought, assurance level reduced	Impact on 2 areas of assurance – areas lowered
Housing	No change to assurance	Evidence requested no change to assurance	Additional info requested no change to assurance	Additional info requested no change to assurance	No change to assurance	No change to assurance	No change to assurance	Additional info requested no change to assurance	No change to assurance	1.2 Evidence requested no impact on assurance	No impact on any areas of assurance
Place Shaping	No change to assurance	No change to assurance	No change to assurance	No change to assurance	Clarification requested, no impact on assurance	No change to assurance	No change to assurance	No change to assurance	No change to assurance	1.2 Evidence requested no impact on assurance	Clarification requested, no impact on assurance